

STATE OF ALASKA
DEPARTMENT OF COMMUNITY AND ECONOMIC DEVELOPMENT
DIVISION OF BANKING, SECURITIES & CORPORATIONS
P.O. Box 110807
Juneau, AK 99811
Phone (907) 465-2521
www.dced.state.ak.us/bsc/banking.htm

INDIVIDUAL AFFIDAVIT

Answer every question definitely and completely.

Each incorporator, partner, director, trustee, and officer of a financial institution under the Alaska Banks and Financial Institutions Title (AS 06) must complete one of these affidavits.

1. In Re: _____ Title _____
(Financial Institution)
2. Give your full name _____
3. Your date of birth _____ Place of birth _____
4. Business address _____ City _____ State _____
5. Residence address _____ City _____ State _____
6. Present occupation or profession _____
7. Give detailed statement of occupation for last ten years with dates, names, and addresses of employers. (Here set forth character and period of each employment and reasons for resignation or discharge, as the case may be, fully giving dates of employment, name, and present address of each employer.)

Date Employed	Date Left Employment	Employer	Present Mailing Address	Nature of Position	Reason for Leaving

8. Do you or your spouse own, or have you in the past thirty (30) days owned, stock or any other securities of this financial institution? If so, state fully the kind, amount, cost to you, and how paid for.
If any owned within this period of time but sold prior to this date, state date sold and remuneration received. _____
9. What amount, if any, do you or your spouse owe for said stock or securities?

10. Have you or your spouse any written contract for additional stock in this financial institution? _____
If so, attach a true copy and mark it Exhibit "1."
11. What salary will you receive in the next 12 months from this financial institution?
What other remuneration? _____
12. Will you receive a commission or other remuneration from the sale of securities of this financial institution? _____ If so, how much? _____
13. Have you any written or oral contract or agreement for any percentage of profits, royalties, sales bonus, commission, or additional compensation? _____ If so, explain fully (Exhibit "2")

14. How much time will you devote to the business of this financial institution? _____
(Hours, daily, weekly, or monthly.)
15. Has this financial institution been formally or informally refused authority to sell its securities in any state, province, or nation? _____ If so, where? _____
16. Is this financial institution involved in any pending litigation? _____ (If so, explain fully on a separate sheet, marking it Exhibit "3.")
17. Are you or your spouse involved in any pending litigation? _____ (If so, explain fully as Exhibit "4.")
18. Have you ever been an incorporator, director, trustee, or officer of a financial institution in Alaska or any other state? _____ Where? _____ When? _____
19. Have you ever been a registered dealer in securities under a stock exchange, NASD, or the Securities and Exchange Commission registration? _____ If so, which? _____
20. What judgements exist against you or your spouse as of date of this affidavit?

21. Have you ever been or are you now an officer or director or trustee of any other corporation, company syndicate or association? _____ (Answer yes or no)
If yes, give name and address of **each company**, your title therein, whether it is now a going concern, and if discontinued state why. (If insufficient space, attach rider.)

The undersigned certifies that every company in which I have ever been an officer, director, or trustee is listed above.

22. Have you ever been an officer or director in a company or other concern which has become insolvent or been placed in bankruptcy? _____ If so, give name and address of company and reasons therefore.

23. Are salaries of officers of this company or association paid or to be paid from proceeds of sale of stock? _____

24. Have you ever been charged with misrepresentation or a fraudulent act in any transaction or any kind of character? _____ If you answer "yes," explain fully _____

In what Court? _____
(Give County and State)

Who was the Judge presiding? _____

What was the result of the litigation? _____

If judgement was obtained against you, have you paid such judgement? _____

If case has not been settled or tried, explain. _____

25. Are you or your spouse a defendant in any civil litigation pending at this time? _____
If so, give full details, marking same Exhibit "5."

26. Have you ever been charged with, or convicted of, committing a misdemeanor or a felony, or confined in any state or federal institution? _____ If so, when, where, and nature of charge or offense. (Traffic violations may be omitted.) _____

27. Give names and addresses of at least four **disinterested** references as to character, responsibility, and financial standing.

Name of Reference	Occupation	Mailing Address

STATE OF _____

I swear that my answers above given are the truth, the whole truth, and nothing but the truth. I have read the above affidavit and no material fact has been omitted.

Signature (full name)

Subscribed and sworn to before me, a Notary Public, in and for the aforesaid state, this _____ day of _____, 20 _____.

Notary Public

(NOTORIAL SEAL)

My commission expires _____